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File Date: 1/3/14

Notice of Rulemaking Hearing

Hearings will be conducted in the manner prescribed by the Uniform Administrative Procedures Act, T.C.A. § 4-5-204. For questions and copies of the notice, contact the person listed below.

Agency/Board/Commission:	Tennessee Regulatory Authority
Division:	Legal Division
Contact Person:	Jean Stone
Address:	502 Deaderick Street, 4th Floor, Nashville, Tennessee 37243
Phone:	(615) 770-6855
Email:	jean.a.stone@tn.gov

Any Individuals with disabilities who wish to participate in these proceedings (to review these filings) and may require aid to facilitate such participation should contact the following at least 10 days prior to the hearing:

ADA Contact:	ADA Coordinator
Address:	502 Deaderick Street, 4th Floor, Nashville, Tennessee 37243
Phone:	(615) 770-6850
Email:	sharla.dillon@tn.gov

Hearing Location(s) (for additional locations, copy and paste table)

Address 1:	500 Deaderick Street		
Address 2:	Ground Floor Hearing Room		
City:	Nashville		
Zip:	37243		
Hearing Date :	03/03/2014		
Hearing Time:	1:00 PM	_X_CST/CDT ___EST/EDT	

Additional Hearing Information:

Revision Type (check all that apply):
× Amendment
___ New
× Repeal

Rule(s) (**ALL** chapters and rules contained in filing must be listed. If needed, copy and paste additional tables to accommodate more than one chapter. Please enter only **ONE** Rule Number/Rule Title per row.)

Chapter Number	**Chapter Title**
1200-03-01	Rules and Regulations Governing Railroads
Rule Number	**Rule Title**

Chapter Number	Chapter Title
1220-04-01	General Public Utilities Rules
Rule Number	**Rule Title**
1220-04-01-.01	Capital Additions Budgets of Utilities
1220-04-01-.10	Reports - Uniform Financial Report Forms
1220-04-01-.11	Uniform System of Accounting

Chapter Number	Chapter Title
1220-04-06	Ten Year Master Plan for Technology Deployment by Telephone Companies
Rule Number	**Rule Title**

1200-03-01
Rules and Regulations Governing Railroads

Repeal

Rule 1200-03-01 Rules and Regulations Governing Railroads is repealed in its entirety.

Authority T.C.A § 65-2-102.

1220-04-06
Ten Year Master Plan for Technology Deployment by Telephone Companies

Repeal

Rule 1220-04-06 Ten Year Master Plan for Technology Deployment by Telephone Companies is repealed in its entirety.

Authority T.C.A. §§ 65-2-102 and 65-4-104

1220-04-01
General Public Utilities Rules
Amendments

Rule 1220-04-01-.01 Capital Additions Budgets of Utilities is amended by deleting paragraph (3) in its entirety and renumbering the remaining paragraphs accordingly, so that as amended, the new rule shall read:

1220-04-01-.01 Capital Additions Budgets of Utilities.

(1) All public utilities operating in the State of Tennessee shall submit one (1) copy of the following information on an annual basis, to be filed no later than ninety (90) days after the beginning of the current fiscal year with the Chief, Utilities Division or as otherwise agreed upon.

(a) Projected expenditures on capital construction projects both routine and specific for the current year.

(b) For the current year a brief description of the nature, location and necessity of individual specific projects. Individual specific projects are those projects which for public utilities having more than 300,000 customers in their Tennessee jurisdiction any addition to plant where the cost of the project exceeds $200,000. For public utilities having between 30,000 and 300,000 customers in their Tennessee jurisdiction, any addition to plant where the cost of the project exceeds $100,000. For public utilities having less than 30,000 customers in their Tennessee jurisdiction, any addition to plant where the cost of the project exceeds $50,000.00.

(c) New projects over the above thresholds that arise during the reporting year as well as reported projects that are later deferred or canceled should be reported at the time of such decisions if

they significantly impact customer service. A summary of all changes, together with reasons for change will be included as a supplement to the following year's annual report.

(2) Those utilities that, pursuant to Rule 1220-4-2-.55, prepare Tennessee specific capital addition budgets for the first and second following years shall also file similar information for these years with the filing in (a) above.

(3) An annual conference, where appropriate, will be scheduled for each utility as required by the Authority's Staff for clarification of the submitted capital additions budgets. The review of such information at an annual conference will not necessarily constitute approval of a utility proposed capital addition.

Authority T.C.A. § 65-2-102

Rule 1220-04-01-.10 Reports - Uniform Financial Report Forms is amended by deleting subparagraph 2(e), including its parts, and subparagraph 3(e) in their entirety and renumbering the remaining subparagraphs accordingly, so that as amended, the new paragraphs shall read:
1220-04-01-.10 Reports - Uniform Financial Report Forms.

(2) Type of Public Utilities

(a) Telephone Utility Companies

1. All companies subject to the jurisdiction of the Authority as set forth in T.C.A. §65-4-101, which are either a subsidiary of a holding company or have in excess of 6,000 access lines shall submit monthly to this Authority Monthly Report Form TRA-3.01 within sixty (60) days after the end of the month covered by the report. The Monthly Report Form shall be completed by each company to the extent data is available.

2. All companies subject to the jurisdiction of the Authority as set forth in T.C.A. §65-4-101, which are not a subsidiary of a holding company and have less than 6,000 access lines shall submit quarterly to this Authority Quarterly Report Form TRA-3.02 within sixty (60) days after the end of the quarter covered by the report. The Quarterly Report Form shall be completed by each company to the extent data is available.

3. All companies operating pursuant to price regulation under T.C.A. § 65-5-109 shall submit to the Authority the above report annually, for the twelve months ending December, or for the company's fiscal year, if different, within sixty (60) days after the end of the twelve (12) month period covered by the report.

(b) Gas Utility Companies

1. All companies subject to the jurisdiction of this Authority, as set forth in T.C.A. §65-4-101, which had operating revenues for the preceding year in excess of $1,500,000 shall submit monthly to this Authority, Monthly Report Form TRA-3.03 sixty (60) days after the end of the month covered by the report.

2. All companies subject to the jurisdiction of this Authority, as set forth in T.C.A. §65-4-101, which had operating revenues for the preceding year of $1,500,000 or less shall submit quarterly to this Authority, Quarterly Report Form TRA-3.04 sixty (60) days after the end of the quarter covered by the report.

(c) Electric Utility Companies

1. All companies subject to the jurisdiction of this Authority, as set forth in T.C.A. §65-4-101, which had operating revenues from operations within Tennessee for the preceding year in excess of $1,500,000 shall submit monthly to this Authority, Monthly Report Form TRA-3.05 sixty (60) days after the end of the month covered by the report.

(d) Water Utility Companies

1. All companies subject to the jurisdiction of this Authority, as set forth in T.C.A. §65-4-101, which had operating revenues for the preceding year in excess of $1,500,000 shall submit monthly to this Authority, Monthly Report Form TRA-3.06 sixty (60) days after the end of the month covered by the report.

2. All companies subject to the jurisdiction of this Authority, as set forth in T.C.A. §65-4-101, which had operating revenues for the preceding year in excess of $100,000 but less than $1,500,000 shall submit to this Authority, Quarterly Report Form TRA-3.07 sixty (60) days after the end of the month covered by the report.

(e) Sewer Utility Companies

1. All companies subject to the jurisdiction of this Authority, as set forth in T.C.A. §65-4-101, which had operating revenues for the preceding year in excess of $1,500,000, shall submit monthly to this Authority Monthly Report Form TRA-3.18 sixty (60) days after the end of the month covered by the report.

2. All companies subject to the jurisdiction of this Authority, as set forth in T.C.A §65-4-10l, which had operating revenues for the preceding year in excess of $100,000 but less than $1,500,000, shall submit quarterly to this Authority, Quarterly Report Form TRA-3.19, sixty (60) days after the end of the quarter covered by the report.

(3) Uniform Financial Report Forms

The following report forms which are attached to his order as Appendix A shall be used by the utility companies, as designated in paragraph (2) of this rule:

(a) Telephone Utility Companies
Form TRA-3.01
Form TRA-3.02

(b) Gas Utility Companies
Form TRA-3.03
Form TRA-3.04

(c) Electric Utility Companies
Form TRA-3.05

(d) Water Utility Companies
Form TRA-3.06
Form TRA-3.07

(e) Sewer Utility Companies
Form TRA-3.18
Form TRA-3.19

Authority T.C.A. §§ 65-2-102, 65-4-401, 65-4-101, 65-4-104, 65-4-111, and 65-5-109.

Rule 1220-04-01-.11 Uniform System of Accounting is amended by deleting subparagraph (1) i in its entirety including 1 and 2, so that as amended, the new paragraph shall read:
1220-04-01-.11 UNIFORM SYSTEM OF ACCOUNTING.

(1) The following uniform system of accounting will be followed by utilities and other companies making periodic reports to the Authority:

(a) For Classes A and B telephone companies - Uniform System of Accounts as adopted and amended by the Federal Communications Commission.

(b) For Classes C and D telephone companies - Uniform System of Accounts as adopted and amended by the Federal Communications Commission.

(c) For Classes A and B gas companies - Uniform System of Accounts as adopted by the National Association of Railroad and Utilities Commissioners as revised June 30, 1972, and any amendments or revisions pertaining thereto.

(d) For Classes C and D gas companies - Uniform System of Accounts as adopted by the National Association of Railroad and Utilities Commissioners as revised June 30, 1972, and any amendments or revisions pertaining thereto.

(e) For Classes A and B electric companies - Uniform System of Accounts as adopted by the National Association of Railroad and Utilities Commissioners as revised June 30, 1972, or any amendments or revisions pertaining thereto.

(f) For Classes C and D electric companies - Uniform System of Accounts as adopted by the National Association of Railroad and Utilities Commissioners as revised June 30,1972, or any amendments or revisions pertaining thereto.

(g) For Classes A, B, and C water companies - Uniform System of Accounts as adopted and amended by the National Association of Railroad and Utilities Commissioners.

(h) For Classes A, B, and C sewer companies - Uniform System of Accounts as adopted and amended by the National Association of Railroad and Utilities Commissioners.

Authority T.CA. §§ 65-4-101, 65-4-104, 65-4-111, and 65-2-102

I certify that the information included in this filing is an accurate and complete representation of the intent and scope of rulemaking proposed by the agency.

Date: January 3, 2014

Signature: Jean A. Stone

Name of Officer: Jean A. Stone

Title of Officer: General Counsel

ECTORY R. LAWLESS
STATE OF TENNESSEE NOTARY PUBLIC
DAVIDSON COUNTY, TENN.

Subscribed and sworn to before me on: January 3, 2014

Notary Public Signature: Ector Lawless

My commission expires on: 1/26/2014

Department of State Use Only

Filed with the Department of State on: 1/3/14

Tre Hargett
Secretary of State

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