

**RULES
OF
TENNESSEE DEPARTMENT OF LABOR AND WORKFORCE DEVELOPMENT
OCCUPATIONAL SAFETY AND HEALTH**

**CHAPTER 0800-01-03
OCCUPATIONAL SAFETY AND HEALTH STANDARDS
RECORD-KEEPING AND REPORTING**

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0800-01-03-.01 PURPOSE.

- (1) The purpose of these rules is to require employers to record and report work-related fatalities, injuries and illnesses pursuant to T.C.A. §§ 50-3-701 and 50-3-702. For recording and reporting under these sections, the Commissioner of the Tennessee Department of Labor and Workforce Development adopts the forms prescribed by the Occupational Safety and Health Administration (OSHA), U. S. Department of Labor [see Rule 0800-01-03-.03(27)].
- (2) Recording or reporting a work-related injury, illness, or fatality does not mean that the employer or employee was at fault, that a Tennessee Occupational Safety and Health Administration (TOSHA) rule has been violated, or that the employee is eligible for workers' compensation or other benefits.

Authority: T.C.A. §§ 4-3-1411, 50-3-201, 50-3-701, 50-3-702, 50-3-910, and 50-3-917. **Administrative History:** Original rule certified June 10, 1974. Amendment filed January 14, 1978; effective February 13, 1978. Amendment filed July 28, 1978; effective October 30, 1978. Amendment filed November 25, 1983; effective February 13, 1984. Repeal and new rule filed August 30, 2001; effective December 28, 2001.

0800-01-03-.02 SCOPE.

- (1) All employers covered by the Tennessee Occupational Safety and Health Act of 1972, as amended, (Act) are covered by these rules. However, most employers do not have to keep TOSHA injury and illness records unless TOSHA or the Bureau of Labor Statistics (BLS) informs them in writing that they must keep records. For example, employers with 10 or fewer employees and business establishments in certain industry classifications are partially exempt from keeping TOSHA injury and illness records.
- (2) Partial exemption for employers with 10 or fewer employees.
 - (a) Basic requirement.
 1. If your company had ten (10) or fewer employees at all times during the last calendar year, you do not need to keep TOSHA injury and illness records unless TOSHA or the BLS informs you in writing that you must keep records under Rule 0800-01-03-.05(3) or Rule 0800-01-03-05(4). However, as required by Rule 0800-01-03-.05(1), all employers covered by the Act must report to TOSHA any workplace incident that results in a fatality or the hospitalization of three or more employees.

(Rule 0800-01-03-.02, continued)

2. If your company had more than ten (10) employees at any time during the last calendar year, you must keep TOSHA injury and illness records unless your establishment is classified as a partially exempt industry under Rule 0800-01-03-.02 (2).
- (b) Implementation.
1. Is the partial exemption for size based on the size of my entire company or on the size of an individual business establishment? The partial exemption for size is based on the number of employees in the entire company.
 2. How do I determine the size of my company to find out if I qualify for the partial exemption for size? To determine if you are exempt because of size, you need to determine your company's peak employment during the last calendar year. If you had no more than 10 employees at any time in the last calendar year, your company qualifies for the partial exemption for size.
- (3) Partial exemption for establishments in certain industries.
- (a) Basic requirement.
1. If your business establishment is classified in a specific industry group listed in Appendix A of Rule 0800-01-03-.02(4), you do not need to keep TOSHA injury and illness records unless the government asks you to keep the records under Rule 0800-01-03-.05(3) or Rule 0800-01-03-.05(4). However, all employers must report to TOSHA any workplace incident that results in an employee's fatality, in-patient hospitalization, amputation, or loss of an eye [see Rule 0800-01-03-.05(1)].
 2. If one or more of your company's establishments are classified in a non-exempt industry, you must keep TOSHA injury and illness records for all of such establishments unless your company is partially exempted because of size under Rule 0800-01-03-.02(1).
- (b) Implementation.
1. Is the partial industry classification exemption based on the industry classification of my entire company or on the classification of individual business establishments operated by my company? The partial industry classification exemption applies to individual business establishments. If a company has several business establishments engaged in different classes of business activities, some of the company's establishments may be required to keep records, while others may be partially exempt.
 2. How do I determine the correct NAICS code for my company or for individual establishments? You determine your NAICS code by using one of three methods:
 - (i) You can use the search feature at the U.S. Census Bureau NAICS main webpage:<http://www.census.gov/eos/www/naics/>. In the search box for the most recent NAICS, enter a keyword that describes your kind of business. A list of primary business activities containing that keyword and the corresponding NAICS codes will appear. Choose the one that most closely

(Rule 0800-01-03-.02, continued)

corresponds to your primary business activity, or refine your search to obtain other choices.

- (ii) Rather than searching through a list of primary business activities, you may also view the most recent complete NAICS structure with codes and titles by clicking on the link for the most recent NAICS on the U.S. Census Bureau NAICS main webpage: <http://www.census.gov/eos/www/naics/>. Then click on the two-digit Sector code to see all the NAICS codes under that Sector. Then choose the six-digit code of your interest to see the corresponding definition, as well as cross-references and index items, when available.
 - (iii) If you know your old SIC code, you can also find the appropriate 2002 NAICS code by using the detailed conversion (concordance) between the 1987 SIC and 2002 NAICS available in Excel format for download at the "Concordances" link at the U.S. Census Bureau NAICS main webpage: <http://www.census.gov/eos/www/naics/>. You may also contact your nearest TOSHA office for help in determining your NAICS Code.
- (4) Non-Mandatory Appendix A - Partially Exempt Industries. Employers are not required to keep TOSHA injury and illness records for any establishment classified in the following North American Industry Classification System (NAICS) codes, unless they are asked in writing to do so by TOSHA or the Bureau of Labor Statistics (BLS). All employers, including those partially exempted by reason of company size or industry classification, must report to TOSHA any employee's fatality, in-patient hospitalization, amputation, or loss of an eye [see Rule 0800-01-03-.05(1)].

NAICS Code	Industry
4412	Other Motor Vehicle Dealers
4431	Electronics and Appliance Stores
4461	Health and Personal Care Stores
4471	Gasoline Stations
4481	Clothing Stores
4482	Shoe Stores
4483	Jewelry, Luggage, and Leather Goods Stores
4511	Sporting Goods, Hobby, and Musical Instrument Stores
4512	Book, Periodical, and Music Stores
4531	Florists
4532	Office Supplies, Stationery, and Gift Stores
4812	Nonscheduled Air Transportation
4861	Pipeline Transportation of Crude Oil
4862	Pipeline Transportation of Natural Gas
4869	Other Pipeline Transportation
4879	Scenic and Sightseeing Transportation, Other
4885	Freight Transportation Arrangement
5111	Newspaper, Periodical, Book, and Directory Publishers
5112	Software Publishers
5121	Motion Picture and Video Industries
5122	Sound Recording Industries
5151	Radio and Television Broadcasting
5172	Wireless Telecommunications Carriers (except Satellite)

(Rule 0800-01-03-.02, continued)

5173	Telecommunications Resellers
5179	Other Telecommunications
5181	Internet Service Providers and Web Search Portals
5182	Data Processing, Hosting, and Related Services
5191	Other Information Services
5211	Monetary Authorities - Central Bank
5221	Depository Credit Intermediation
5222	Nondepository Credit Intermediation
5223	Activities Related to Credit Intermediation
5231	Securities and Commodity Contracts Intermediation and Brokerage
5232	Securities and Commodity Exchanges
5239	Other Financial Investment Activities
5241	Insurance Carriers
5242	Agencies, Brokerages, and Other Insurance Related Activities
5251	Insurance and Employee Benefit Funds
5259	Other Investment Pools and Funds
5312	Offices of Real Estate Agents and Brokers
5331	Lessors of Nonfinancial Intangible Assets (except Copyrighted Works)
5411	Legal Services
5412	Accounting, Tax Preparation, Bookkeeping, and Payroll Services
5413	Architectural, Engineering, and Related Services
5414	Specialized Design Services
5415	Computer Systems Design and Related Services
5416	Management, Scientific, and Technical Consulting Services
5417	Scientific Research and Development Services
5418	Advertising and Related Services
5511	Management of Companies and Enterprises
5611	Office Administrative Services
5614	Business Support Services
5615	Travel Arrangement and Reservation Services
5616	Investigation and Security Services
6111	Elementary and Secondary Schools
6112	Junior Colleges
6113	Colleges, Universities, and Professional Schools
6114	Business Schools and Computer and Management Training
6115	Technical and Trade Schools
6116	Other Schools and Instruction
6117	Educational Support Services
6211	Offices of Physicians
6212	Offices of Dentists
6213	Offices of Other Health Practitioners
6214	Outpatient Care Centers
6215	Medical and Diagnostic Laboratories
6244	Child Day Care Services
7114	Agents and Managers for Artists, Athletes, Entertainers, and Other Public Figures
7115	Independent Artists, Writers, and Performers

(Rule 0800-01-03-.02, continued)

7213	Rooming and Boarding Houses
7221	Full-Service Restaurants
7222	Limited-Service Eating Places
7224	Drinking Places (Alcoholic Beverages)
8112	Electronic and Precision Equipment Repair and Maintenance
8114	Personal and Household Goods Repair and Maintenance
8121	Personal Care Services
8122	Death Care Services
8131	Religious Organizations
8132	Grantmaking and Giving Services
8133	Social Advocacy Organizations
8134	Civic and Social Organizations
8139	Business, Professional, Labor, Political, and Similar Organizations

Authority: T.C.A. §§ 4-3-1411, 50-3-101, 50-3-103, 50-3-201, 50-3-701, and 50-3-917. **Administrative History:** Original rule certified June 10, 1974. Amendment filed March 31, 1983; effective June 15, 1983. Amendment filed November 25, 1983; effective February 13, 1984. Amendment filed November 25, 1983; effective February 13, 1984. Repeal and new rule filed August 30, 2001; effective December 28, 2001. Amendment filed June 30, 2003; effective October 28, 2003. Amendments filed November 26, 2014; effective February 24, 2015.

0800-01-03-.03 RECORDKEEPING FORMS AND RECORDING CRITERIA.

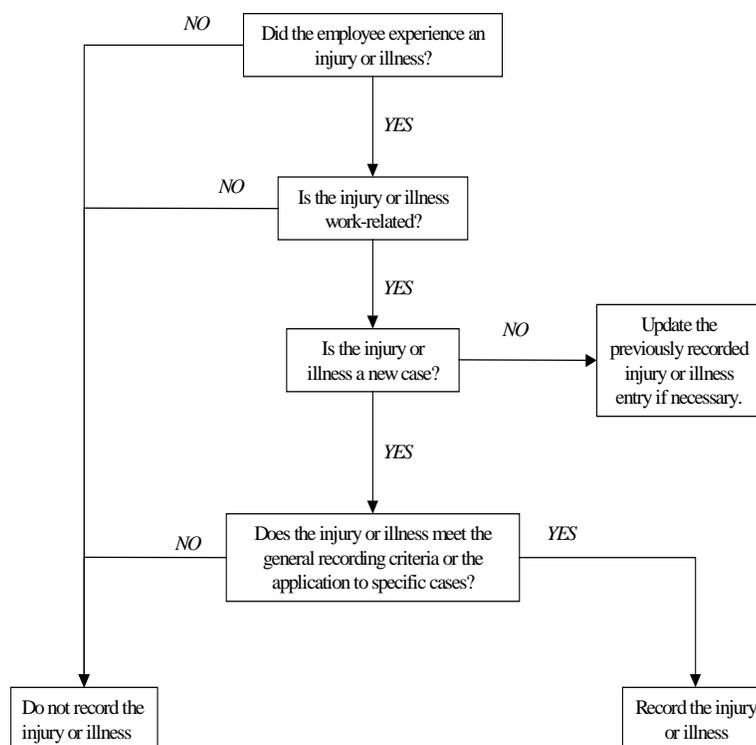
- (1) This rule describes the work-related injuries and illnesses that an employer must enter into the TOSHA records and explains the OSHA forms that employers must use to record work-related fatalities, injuries, and illnesses.
- (2) Recording criteria.
 - (a) Basic requirement. Each employer required by this rule to keep records of fatalities, injuries, and illnesses must record each fatality, injury and illness that:
 1. Is work-related; and
 2. Is a new case; and
 3. Meets one or more of the general recording criteria of Rule 0800-01-03-.03(4) or the application to specific cases of Rule 0800-01-03-.03(5) through Rule 0800-01-03-.03(9).
 - (b) Implementation.
 1. What subparts of this rule describe recording criteria for recording work-related injuries and illnesses? The table below indicates which sections of the rule address each topic.

(i) Determination of work-relatedness.	See Rule 0800-01-03-.03(2)
(ii) Determination of a new case.	See Rule 0800-01-03-

(Rule 0800-01-03-.03, continued)

	.03(3)
(iii) General recording criteria.	See Rule 0800-01-03-.03(4)
(iv) Additional criteria. (Needlestick and sharps injury cases, tuberculosis cases, hearing loss cases, medical removal cases, and musculoskeletal disorder cases)	See Rule 0800-01-03-.03(5) through Rule 0800-01-03-.03(9)

2. How do I decide whether a particular injury or illness is recordable? The decision tree for recording work-related injuries and illnesses below shows the steps involved in making this determination



(3) Determination of work-relatedness.

- (a) Basic requirement. You must consider an injury or illness to be work-related if an event or exposure in the work environment either caused or contributed to the resulting condition or significantly aggravated a pre-existing injury or illness. Work-relatedness is presumed for injuries and illnesses resulting from events or exposures occurring in the work environment, unless an exception in Rule 0800-01-03-.03(3)(b)2. specifically applies.
- (b) Implementation.

(Rule 0800-01-03-.03, continued)

1. What is the “work environment”? TOSHA defines the work environment as “the establishment and other locations where one or more employees are working or are present as a condition of their employment. The work environment includes not only physical locations, but also the equipment or materials used by the employee during the course of his or her work.”
2. Are there situations where an injury or illness occurs in the work environment and is not considered work-related? Yes, an injury or illness occurring in the work environment that falls under one of the following exceptions is not work-related, and therefore is not recordable. You are not required to record injuries and illnesses if:
 - (i) At the time of the injury or illness, the employee was present in the work environment as a member of the general public rather than as an employee.
 - (ii) The injury or illness involves signs or symptoms that surface at work but result solely from a non-work-related event or exposure that occurs outside the work environment.
 - (iii) The injury or illness results solely from voluntary participation in a wellness program or in a medical, fitness, or recreational activity such as blood donation, physical examination, flu shot, exercise class, racquetball, or baseball.
 - (iv) The injury or illness is solely the result of an employee eating, drinking, or preparing food or drink for personal consumption (whether bought on the employer’s premises or brought in). For example, if the employee is injured by choking on a sandwich while in the employer’s establishment, the case would not be considered work-related. Note: If the employee is made ill by ingesting food contaminated by workplace contaminants (such as lead), or gets food poisoning from food supplied by the employer, the case would be considered work-related.
 - (v) The injury or illness is solely the result of an employee doing personal tasks (unrelated to their employment) at the establishment outside of the employee’s assigned working hours.
 - (vi) The injury or illness is solely the result of personal grooming, self medication for a non-work-related condition, or is intentionally self-inflicted.
 - (vii) The injury or illness is caused by a motor vehicle accident and occurs on a company parking lot or company access road while the employee is commuting to or from work.
 - (viii) The illness is the common cold or flu (Note: contagious diseases such as tuberculosis, brucellosis, hepatitis A, or plague are considered work-related if the employee is infected at work).
 - (ix) The illness is a mental illness. Mental illness will not be considered work-related unless the employee voluntarily provides the employer with an opinion from a physician or other licensed health care professional with appropriate training and experience (psychiatrist, psychologist, psychiatric

(Rule 0800-01-03-.03, continued)

nurse practitioner, etc.) stating that the employee has a mental illness that is work-related.

3. How do I handle a case if it is not obvious whether the precipitating event or exposure occurred in the work environment or occurred away from work? In these situations, you must evaluate the employee's work duties and environment to decide whether or not one or more events or exposures in the work environment either caused or contributed to the resulting condition or significantly aggravated a pre-existing condition.
4. How do I know if an event or exposure in the work environment "significantly aggravated" a preexisting injury or illness? A preexisting injury or illness has been significantly aggravated, for purposes of TOSHA injury and illness recordkeeping, when an event or exposure in the work environment results in any of the following:
 - (i) Death, provided that the preexisting injury or illness would likely not have resulted in death but for the occupational event or exposure.
 - (ii) Loss of consciousness, provided that the preexisting injury or illness would likely not have resulted in loss of consciousness but for the occupational event or exposure.
 - (iii) One or more days away from work, or days of restricted work, or days of job transfer that otherwise would not have occurred but for the occupational event or exposure.
 - (iv) Medical treatment in a case where no medical treatment was needed for the injury or illness before the workplace event or exposure, or a change in medical treatment was necessitated by the workplace event or exposure.
5. Which injuries and illnesses are considered pre-existing conditions? An injury or illness is a preexisting condition if it resulted solely from a non-work-related event or exposure that occurred outside the work environment.
6. How do I decide whether an injury or illness is work-related if the employee is on travel status at the time the injury or illness occurs? Injuries and illnesses that occur while an employee is on travel status are work-related if, at the time of the injury or illness, the employee was engaged in work activities "in the interest of the employer." Examples of such activities include travel to and from customer contacts, conducting job tasks, and entertaining or being entertained to transact, discuss, or promote business (work-related entertainment includes only entertainment activities being engaged in at the direction of the employer).

Injuries or illnesses that occur when the employee is on travel status do not have to be recorded if they meet one of the exceptions listed below.

	If the employee has ...	You may use the following to determine if an injury or illness is work-related.
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(Rule 0800-01-03-.03, continued)

(i)	Checked into a hotel, motel, or other temporary residence, he or she establishes a "home away from home." You must evaluate the employee's activities after he or she checks into the hotel, motel, or other temporary residence for their work-relatedness in the same manner as you evaluate the activities of a non-traveling employee. When the employee checks into the temporary residence, he or she is considered to have left the work environment. When the employee begins work each day, he or she re-enters the work environment. If the employee has established a "home away from home" and is reporting to a fixed worksite each day, you also do not consider injuries or illnesses work-related if they occur while the employee is commuting between the temporary residence and the job location.	
(ii)	Taken a personal detour for reasons.	Injuries or illnesses are not considered work-related if they occur while the employee is on a personal detour from a reasonably direct route of travel (e.g., has taken a side trip for personal reasons).

7. How do I decide if a case is work-related when the employee is working at home? Injuries and illnesses that occur while an employee is working at home, including work in a home office, will be considered work-related if the injury or illness occurs while the employee is performing work for pay or compensation in the home, and the injury or illness is directly related to the performance of work rather than to the general home environment or setting. For example, if an employee drops a box of work documents and injures his or her foot, the case is considered work-related. If an employee's fingernail is punctured by a needle from a sewing machine used to perform garment work at home, becomes infected and requires medical treatment, the injury is considered work-related. If an employee is injured because he or she trips on the family dog while rushing to answer a work phone call, the case is not considered work-related. If an employee working at home is electrocuted because of faulty home wiring, the injury is not considered work-related.

(4) Determination of new cases.

(a) Basic requirement. You must consider an injury or illness to be a "new case" if:

1. The employee has not previously experienced a recorded injury or illness of the same type that affects the same part of the body, or
2. The employee previously experienced a recorded injury or illness of the same type that affected the same part of the body but had recovered completely (all signs and symptoms had disappeared) from the previous injury or illness and an event or exposure in the work environment caused the signs or symptoms to reappear.

(b) Implementation.

(Rule 0800-01-03-.03, continued)

1. When an employee experiences the signs or symptoms of a chronic work-related illness, do I need to consider each recurrence of signs or symptoms to be a new case? No, for occupational illnesses where the signs or symptoms may recur or continue in the absence of an exposure in the workplace, the case must only be recorded once. Examples may include occupational cancer, asbestosis, byssinosis and silicosis.
2. When an employee experiences the signs or symptoms of an injury or illness as a result of an event or exposure in the workplace, such as an episode of occupational asthma, must I treat the episode as a new case? Yes, because the episode or recurrence was caused by an event or exposure in the workplace, the incident must be treated as a new case.
3. May I rely on a physician or other licensed health care professional to determine whether a case is a new case or a recurrence of an old case? You are not required to seek the advice of a physician or other licensed health care professional. However, if you do seek such advice, you must follow the physician or other licensed health care professional's recommendation about whether the case is a new case or a recurrence. If you receive recommendations from two or more physicians or other licensed health care professionals, you must make a decision as to which recommendation is the most authoritative (best documented, best reasoned, or most authoritative), and record the case based upon that recommendation.

(5) General recording criteria.

- (a) Basic requirement. You must consider an injury or illness to meet the general recording criteria, and therefore to be recordable, if it results in any of the following: death, days away from work, restricted work or transfer to another job, medical treatment beyond first aid, or loss of consciousness. You must also consider a case to meet the general recording criteria if it involves a significant injury or illness diagnosed by a physician or other licensed health care professional, even if it does not result in death, days away from work, restricted work or job transfer, medical treatment beyond first aid, or loss of consciousness.
- (b) Implementation.
 1. How do I decide if a case meets one or more of the general recording criteria? A work-related injury or illness must be recorded if it results in one or more of the following:

(i) Death,	See Rule 0800-01-03-.03 (5)(b)2.
(ii) Days away from work,	See Rule 0800-01-03-.03 (5)(b)3.
(iii) Restricted work or transfer to another job,	See Rule 0800-01-03-.03 (5)(b)4.
(iv) Medical treatment beyond first aid,	See Rule 0800-01-03-.03 (5)(b)5.

(Rule 0800-01-03-.03, continued)

(v) Loss of consciousness,	See Rule 0800-01-03-.03 (5)(b)6.
(vi) A significant injury or illness diagnosed by a physician or other licensed health care professional.	See Rule 0800-01-03-.03 (5)(b)7.

2. How do I record a work-related injury or illness that results in the employee's death? You must record an injury or illness that results in death by entering a check mark on the OSHA 300 Log in the space for cases resulting in death. You must also report any work-related fatality to TOSHA within eight (8) hours, as required by Rule 0800-01-03-.05(1).

3. How do I record a work-related injury or illness that results in days away from work? When an injury or illness involves one or more days away from work, you must record the injury or illness on the OSHA 300 Log with a check mark in the space for cases involving days away and an entry of the number of calendar days away from work in the number of days column. If the employee is out for an extended period of time, you must enter an estimate of the days that the employee will be away, and update the day count when the actual number of days is known.
 - (i) Do I count the day on which the injury occurred or the illness began? No, you begin counting days away on the day after the injury occurred or the illness began.

 - (ii) How do I record an injury or illness when a physician or other licensed health care professional recommends that the worker stay at home but the employee comes to work anyway? You must record these injuries and illnesses on the OSHA 300 Log using the check box for cases with days away from work and enter the number of calendar days away recommended by the physician or other licensed health care professional. If a physician or other licensed health care professional recommends days away, you should encourage your employee to follow that recommendation. However, the days away must be recorded whether the injured or ill employee follows the physician or licensed health care professional's recommendation or not. If you receive recommendations from two or more physicians or other licensed health care professionals, you may make a decision as to which recommendation is the most authoritative, and record the case based upon that recommendation.

 - (iii) How do I handle a case when a physician or other licensed health care professional recommends that the worker return to work but the employee stays at home anyway? In this situation, you must end the count of days away from work on the date the physician or other licensed health care professional recommends that the employee return to work.

 - (iv) How do I count weekends, holidays, or other days the employee would not have worked anyway? You must count the number of calendar days the employee was unable to work as a result of the injury or illness, regardless of whether or not the employee was scheduled to work on those day(s). Weekend days, holidays, vacation days or other days off are included in the total number of days recorded if the employee would not have been able to work on those days because of a work-related injury or illness.

(Rule 0800-01-03-.03, continued)

- (v) How do I record a case in which a worker is injured or becomes ill on a Friday and reports to work on a Monday, and was not scheduled to work on the weekend? You need to record this case only if you receive information from a physician or other licensed health care professional indicating that the employee should not have worked, or should have performed only restricted work, during the weekend. If so, you must record the injury or illness as a case with days away from work or restricted work, and enter the day counts, as appropriate.
 - (vi) How do I record a case in which a worker is injured or becomes ill on the day before scheduled time off such as a holiday, a planned vacation, or a temporary plant closing? You need to record a case of this type only if you receive information from a physician or other licensed health care professional indicating that the employee should not have worked, or should have performed only restricted work, during the scheduled time off. If so, you must record the injury or illness as a case with days away from work or restricted work, and enter the day counts, as appropriate.
 - (vii) Is there a limit to the number of days away from work I must count? Yes, you may “cap” the total days away at 180 calendar days. You are not required to keep track of the number of calendar days away from work if the injury or illness resulted in more than 180 calendar days away from work and/or days of job transfer or restriction. In such a case, entering 180 in the total days away column will be considered adequate.
 - (viii) May I stop counting days if an employee who is away from work because of an injury or illness retires or leaves my company? Yes, if the employee leaves your company for some reason unrelated to the injury or illness, such as retirement, a plant closing, or to take another job, you may stop counting days away from work or days of restriction/job transfer. If the employee leaves your company because of the injury or illness, you must estimate the total number of days away or days of restriction/job transfer and enter the day count on the 300 Log.
 - (ix) If a case occurs in one year but results in days away during the next calendar year, do I record the case in both years? No, you only record the injury or illness once. You must enter the number of calendar days away for the injury or illness on the OSHA 300 Log for the year in which the injury or illness occurred. If the employee is still away from work because of the injury or illness when you prepare the annual summary, estimate the total number of calendar days you expect the employee to be away from work, use this number to calculate the total for the annual summary, and then update the initial log entry later when the day count is known or reaches the 180-day cap.
4. How do I record a work-related injury or illness that results in restricted work or job transfer? When an injury or illness involves restricted work or job transfer but does not involve death or days away from work, you must record the injury or illness on the OSHA 300 Log by placing a check mark in the space for job transfer or restriction and an entry of the number of restricted or transferred days in the restricted workdays column.

(Rule 0800-01-03-.03, continued)

- (i) How do I decide if the injury or illness resulted in restricted work? Restricted work occurs when, as the result of a work-related injury or illness:
 - (I) You keep the employee from performing one or more of the routine functions of his or her job, or from working the full workday that he or she would otherwise have been scheduled to work; or
 - (II) A physician or other licensed health care professional recommends that the employee not perform one or more of the routine functions of his or her job, or not work the full workday that he or she would otherwise have been scheduled to work.
- (ii) What is meant by “routine functions”? For recordkeeping purposes, an employee’s routine functions are those work activities the employee regularly performs at least once per week.
- (iii) Do I have to record restricted work or job transfer if it applies only to the day on which the injury occurred or the illness began? No, you do not have to record restricted work or job transfers if you, or the physician or other licensed health care professional, impose the restriction or transfer only for the day on which the injury occurred or the illness began.
- (iv) If you or a physician or other licensed health care professional recommends a work restriction, is the injury or illness automatically recordable as a “restricted work” case? No, a recommended work restriction is recordable only if it affects one or more of the employee’s routine job functions. To determine whether this is the case, you must evaluate the restriction in light of the routine functions of the injured or ill employee’s job. If the restriction from you or the physician or other licensed health care professional keeps the employee from performing one or more of his or her routine job functions, or from working the full workday the injured or ill employee would otherwise have worked, the employee’s work has been restricted and you must record the case.
- (v) How do I record a case where the worker works only for a partial work shift because of a work-related injury or illness? A partial day of work is recorded as a day of job transfer or restriction for recordkeeping purposes, except for the day on which the injury occurred or the illness began.
- (vi) If the injured or ill worker produces fewer goods or services than he or she would have produced prior to the injury or illness but otherwise performs all of the routine functions of his or her work, is the case considered a restricted work case? No, the case is considered restricted work only if the worker does not perform all of the routine functions of his or her job or does not work the full shift that he or she would otherwise have worked.
- (vii) How do I handle vague restrictions from a physician or other licensed health care professional, such as that the employee engage only in “light duty” or “take it easy for a week”? If you are not clear about the physician or other licensed health care professional’s recommendation, you may ask that person whether the employee can do all of his or her routine job functions and work all of his or her normally assigned work shift. If the answer to both of these questions is “Yes,” then the case does not involve a work restriction and does not have to be recorded as such. If the answer

(Rule 0800-01-03-.03, continued)

to one or both of these questions is “No,” the case involves restricted work and must be recorded as a restricted work case. If you are unable to obtain this additional information from the physician or other licensed health care professional who recommended the restriction, record the injury or illness as a case involving restricted work.

- (viii) What do I do if a physician or other licensed health care professional recommends a job restriction meeting TOSHA’s definition, but the employee does all of his or her routine job functions anyway? You must record the injury or illness on the OSHA 300 Log as a restricted work case. If a physician or other licensed health care professional recommends a job restriction, you should ensure that the employee complies with that restriction. If you receive recommendations from two or more physicians or other licensed health care professionals, you may make a decision as to which recommendation is the most authoritative, and record the case based upon that recommendation.
 - (ix) How do I decide if an injury or illness involved a transfer to another job? If you assign an injured or ill employee to a job other than his or her regular job for part of the day, the case involves transfer to another job. Note: This does not include the day on which the injury or illness occurred.
 - (x) Are transfers to another job recorded in the same way as restricted work cases? Yes, both job transfer and restricted work cases are recorded in the same box on the OSHA 300 Log. For example, if you assign, or a physician or other licensed health care professional recommends that you assign, an injured or ill worker to his or her routine job duties for part of the day and to another job for the rest of the day, the injury or illness involves a job transfer. You must record an injury or illness that involves a job transfer by placing a check in the box for job transfer.
 - (xi) How do I count days of job transfer or restriction? You count days of job transfer or restriction in the same way you count days away from work, using Rule 0800-01-03-.03(5)(b)3.(i) to (viii), above. The only difference is that, if you permanently assign the injured or ill employee to a job that has been modified or permanently changed in a manner that eliminates the routine functions the employee was restricted from performing, you may stop the day count when the modification or change is made permanent. You must count at least one day of restricted work or job transfer for such cases.
5. How do I record an injury or illness that involves medical treatment beyond first aid? If a work-related injury or illness results in medical treatment beyond first aid, you must record it on the OSHA 300 Log. If the injury or illness did not involve death, one or more days away from work, one or more days of restricted work, or one or more days of job transfer, you enter a check mark in the box for cases where the employee received medical treatment but remained at work and was not transferred or restricted.
- (i) What is the definition of medical treatment? “Medical treatment” means the management and care of a patient to combat disease or disorder. For the purposes of this rule medical treatment does not include:
 - (I) Visits to a physician or other licensed health care professional solely for observation or counseling;

(Rule 0800-01-03-.03, continued)

- (II) The conduct of diagnostic procedures, such as x-rays and blood tests, including the administration of prescription medications used solely for diagnostic purposes (e.g., eye drops to dilate pupils); or
 - (III) "First aid" as defined in subpart (ii) below.
- (ii) What is "first aid"? For the purposes of this rule, "first aid" means the following:

(I)	Using a nonprescription medication at nonprescription strength (for medications available in both prescription and nonprescription form, a recommendation by a physician or other licensed health care professional to use a non-prescription medication at prescription strength is considered medical treatment for recordkeeping purposes);
(II)	Administering tetanus immunizations (other immunizations, such as Hepatitis B vaccine or rabies vaccine, are considered medical treatment);
(III)	Cleaning, flushing or soaking wounds on the surface of the skin;
(IV)	Using wound coverings such as bandages, Band-Aids™, gauze pads, etc.; or using butterfly bandages or Steri-Strips™ (other wound closing devices such as sutures, staples, etc. are considered medical treatment);
(V)	Using hot or cold therapy;
(VI)	Using any non-rigid means of support, such as elastic bandages, wraps, non-rigid back belts, etc. (devices with rigid stays or other systems designed to immobilize parts of the body are considered medical treatment for recordkeeping purposes);
(VII)	Using temporary immobilization devices while transporting an accident victim (e.g., splints, slings, neck collars, back boards, etc.).
(VIII)	Drilling of a fingernail or toenail to relieve pressure, or draining fluid from a blister;
(IX)	Using eye patches;
(X)	Removing foreign bodies from the eye using only irrigation or a cotton swab;
(XI)	Removing splinters or foreign material from areas other than the eye by irrigation, tweezers, cotton swabs or other simple means;

(Rule 0800-01-03-.03, continued)

(XII)	Using finger guards;
(XIII)	Using massages (physical therapy or chiropractic treatment are considered medical treatment for recordkeeping purposes); or
(XIV)	Drinking fluids for relief of heat stress.

- (iii) Are any other procedures included in first aid? No, this is a complete list of all treatments considered first aid for the purposes of this rule.
 - (iv) Does the professional status of the person providing the treatment have any effect on what is considered first aid or medical treatment? No, the treatments listed in Rule 0800-01-03-.03(4)(b)5.(ii) are considered to be first aid regardless of the professional status of the person providing the treatment. Even when these treatments are provided by a physician or other licensed health care professional, they are considered first aid for the purposes of this rule. Similarly, treatments beyond first aid are considered to be medical treatment even when it is provided by someone other than a physician or other licensed health care professional.
 - (v) What if a physician or other licensed health care professional recommends medical treatment but the employee does not follow the recommendation? If a physician or other licensed health care professional recommends medical treatment, you should encourage the injured or ill employee to follow that recommendation. However, you must record the case even if the injured or ill employee does not follow the physician or other licensed health care professional's recommendation.
6. Is every work-related injury or illness case involving a loss of consciousness recordable? Yes, you must record a work-related injury or illness if the worker becomes unconscious, regardless of the length of time the employee remains unconscious.
 7. What is a "significant" diagnosed injury or illness that is recordable under the general criteria even if it does not result in death, days away from work, restricted work or job transfer, medical treatment beyond first aid, or loss of consciousness? Work-related cases involving cancer, chronic irreversible disease, a fractured or cracked bone, or a punctured eardrum must always be recorded under the general criteria at the time of diagnosis by a physician or other licensed health care professional.
 8. Most significant injuries and illnesses will result in one of the criteria listed in Rule 0800-01-03-.03(4)(a): death, days away from work, restricted work or job transfer, medical treatment beyond first aid, or loss of consciousness. However, there are some significant injuries, such as a punctured eardrum or a fractured toe or rib, for which neither medical treatment nor work restrictions may be recommended. In addition, there are some significant progressive diseases, such as byssinosis, silicosis, and some types of cancer, for which medical treatment or work restrictions may not be recommended at the time of diagnosis but are likely to be recommended as the disease progresses. Cancer, chronic irreversible diseases, fractured or cracked bones, and punctured eardrums are generally considered significant injuries and illnesses, and must be recorded at the initial

(Rule 0800-01-03-.03, continued)

diagnosis even if medical treatment or work restrictions are not recommended, or are postponed, in a particular case.

- (6) Recording criteria for needlestick and sharps injuries.
- (a) Basic requirement. You must record all work-related needlestick injuries and cuts from sharp objects that are contaminated with another person's blood or other potentially infectious material (as defined by 29 CFR 1910.1030 as adopted by Rule 0800-1-1-.06). You must enter the case on the OSHA 300 Log as an injury. To protect the employee's privacy, you may not enter the employee's name on the OSHA 300 Log (see the requirements for privacy cases in Rules 0800-01-03-.03(27)(b)6. through Rule 0800-01-03-.03(27)(b)9.
- (b) Implementation.
1. What does "other potentially infectious material" mean? The term "other potentially infectious materials" is defined in the OSHA Bloodborne Pathogens standard at §1910.1030(b) as adopted by Rule 0800-1-1-.06. These materials include:
 - (i) Human bodily fluids, tissues and organs, and
 - (ii) Other materials infected with the HIV or hepatitis B (HBV) virus such as laboratory cultures or tissues from experimental animals.
 2. Does this mean that I must record all cuts, lacerations, punctures, and scratches? No, you need to record cuts, lacerations, punctures, and scratches only if they are work-related and involve contamination with another person's blood or other potentially infectious material. If the cut, laceration, or scratch involves a clean object, or a contaminant other than blood or other potentially infectious material, you need to record the case only if it meets one or more of the recording criteria in Rule 0800-01-03-.03(4).
 3. If I record an injury and the employee is later diagnosed with an infectious bloodborne disease, do I need to update the OSHA 300 log? Yes, you must update the classification of the case on the OSHA 300 Log if the case results in death, days away from work, restricted work, or job transfer. You must also update the description to identify the infectious disease and change the classification of the case from an injury to an illness.
 4. What if one of my employees is splashed or exposed to blood or other potentially infectious material without being cut or scratched? Do I need to record this incident? You need to record such an incident on the OSHA 300 Log as an illness if:
 - (i) It results in the diagnosis of a bloodborne illness, such as HIV, hepatitis B, or hepatitis C; or
 - (ii) It meets one or more of the recording criteria in Rule 0800-01-03-.03(4).
- (7) Recording criteria for cases involving medical removal under OSHA standards as adopted by Rule 0800-1-1-.06.
- (a) Basic requirement. If an employee is medically removed under the medical surveillance requirements of an OSHA standard, you must record the case on the OSHA 300 Log.

(Rule 0800-01-03-.03, continued)

(b) Implementation.

1. How do I classify medical removal cases on the OSHA 300 Log? You must enter each medical removal case on the OSHA 300 Log as either a case involving days away from work or a case involving restricted work activity, depending on how you decide to comply with the medical removal requirement. If the medical removal is the result of a chemical exposure, you must enter the case on the OSHA 300 Log by checking the "poisoning" column.
2. Do all of OSHA's standards have medical removal provisions? No, some OSHA standards, such as the standards covering bloodborne pathogens and noise, do not have medical removal provisions. Many OSHA standards that cover specific chemical substances have medical removal provisions. These standards include, but are not limited to, lead, cadmium, methylene chloride, formaldehyde, and benzene.
3. Do I have to record a case where I voluntarily removed the employee from exposure before the medical removal criteria in an OSHA standard are met? No, if the case involves voluntary medical removal before the medical removal levels required by an OSHA standard, you do not need to record the case on the OSHA 300 Log.

(8) Recording criteria for cases involving occupational hearing loss.

(a) Basic requirement. If an employee's hearing test (audiogram) reveals that the employee has experienced a work-related Standard Threshold Shift (STS) in hearing in one or both ears, and the employee's total hearing level is 25 decibels (dB) or more above audiometric zero (averaged at 2000, 3000, and 4000 Hz) in the same ear(s) as the STS, you must record the case on the OSHA 300 Log.

(b) Implementation.

1. What is a Standard Threshold Shift? A Standard Threshold Shift, or STS, is defined in the occupational noise exposure standard at 29 CFR 1910.95(g)(10)(i) as adopted by Rule 0800-1-1-.06 as a change in hearing threshold, relative to the baseline audiogram for that employee, of an average of 10 decibels (dB) or more at 2000, 3000, and 4000 hertz (Hz) in one or both ears.
2. How do I evaluate the current audiogram to determine whether an employee has an STS and a 25-dB hearing level?
 - (i) STS. If the employee has never previously experienced a recordable hearing loss, you must compare the employee's current audiogram with that employee's baseline audiogram. If the employee has previously experienced a recordable hearing loss, you must compare the employee's current audiogram with the employee's revised baseline audiogram (the audiogram reflecting the employee's previous recordable hearing loss case).
 - (ii) 25-dB loss. Audiometric test results reflect the employee's overall hearing ability in comparison to audiometric zero. Therefore, using the employee's current audiogram, you must use the average hearing level at 2000, 3000, and 4000 Hz to determine whether or not the employee's total hearing level is 25 dB or more.

(Rule 0800-01-03-.03, continued)

3. May I adjust the current audiogram to reflect the effects of aging on hearing? Yes. When you are determining whether an STS has occurred, you may age adjust the employee's current audiogram results by using Tables F-1 or F-2, as appropriate, in Appendix F of 29 CFR 1910.95 as adopted by Rule 0800-1-1-.06. You may not use an age adjustment when determining whether the employee's total hearing level is 25 dB or more above audiometric zero.
 4. Do I have to record the hearing loss if I am going to retest the employee's hearing? No, if you retest the employee's hearing within 30 days of the first test, and the retest does not confirm the recordable STS, you are not required to record the hearing loss case on the OSHA 300 Log. If the retest confirms the recordable STS, you must record the hearing loss illness within seven (7) calendar days of the retest. If subsequent audiometric testing performed under the testing requirements of the 1910.95 noise standard indicates that an STS is not persistent, you may erase or line-out the recorded entry.
 5. Are there any special rules for determining whether a hearing loss case is work-related? No. You must use the requirements in Rule 0800-01-03-.03(3) to determine if the hearing loss is work-related. If an event or exposure in the work environment either caused or contributed to the hearing loss, or significantly aggravated a pre-existing hearing loss, you must consider the case to be work related.
 6. If a physician or other licensed health care professional determines the hearing loss is not work-related, do I still need to record the case? If a physician or other licensed health care professional determines that the hearing loss is not work-related or has not been significantly aggravated by occupational noise exposure, you are not required to consider the case work-related or to record the case on the OSHA 300 Log.
 7. How do I complete the 300 Log for a hearing loss case? When you enter a recordable hearing loss case on the OSHA 300 Log, you must check the 300 Log column for hearing loss.
 8. Rule 0800-01-03-.03(8)(b)7. is effective beginning January 1, 2004.
- (9) Recording criteria for work-related tuberculosis cases.
- (a) Basic requirement. If any of your employees has been occupationally exposed to anyone with a known case of active tuberculosis (TB), and that employee subsequently develops a tuberculosis infection, as evidenced by a positive skin test or diagnosis by a physician or other licensed health care professional, you must record the case on the OSHA 300 Log by checking the "respiratory condition" column.
 - (b) Implementation.
 1. Do I have to record, on the Log, a positive TB skin test result obtained at a pre-employment physical? No, you do not have to record it because the employee was not occupationally exposed to a known case of active tuberculosis in your workplace.
 2. May I line-out or erase a recorded TB case if I obtain evidence that the case was not caused by occupational exposure? Yes, you may line-out or erase the case from the Log under the following circumstances:

(Rule 0800-01-03-.03, continued)

- (i) The worker is living in a household with a person who has been diagnosed with active TB;
- (ii) The Public Health Department has identified the worker as a contact of an individual with a case of active TB unrelated to the workplace; or
- (iii) A medical investigation shows that the employee's infection was caused by exposure to TB away from work, or proves that the case was not related to the workplace TB exposure.

(10) through (26) Reserved.

(27) Forms.

- (a) Basic requirement. You must use OSHA 300, 300-A, and 301 forms, or equivalent forms, for recordable injuries and illnesses. The OSHA 300 form is called the Log of Work-Related Injuries and Illnesses, the 300-A is the Summary of Work-Related Injuries and Illnesses, and the OSHA 301 form is called the Injury and Illness Incident Report.
- (b) Implementation.
 - 1. What do I need to do to complete the OSHA 300 Log? You must enter information about your business at the top of the OSHA 300 Log, enter a one or two line description for each recordable injury or illness, and summarize this information on the OSHA 300-A at the end of the year.
 - 2. What do I need to do to complete the OSHA 301 Incident Report? You must complete an OSHA 301 Incident Report form, or an equivalent form, for each recordable injury or illness entered on the OSHA 300 Log.
 - 3. How quickly must each injury or illness be recorded? You must enter each recordable injury or illness on the OSHA 300 Log and 301 Incident Report within seven (7) calendar days of receiving information that a recordable injury or illness has occurred.
 - 4. What is an equivalent form? An equivalent form is one that has the same information, is as readable and understandable, and is completed using the same instructions as the OSHA form it replaces. Many employers use an insurance form instead of the OSHA 301 Incident Report, or supplement an insurance form by adding any additional information required by TOSHA.
 - 5. May I keep my records on a computer? Yes, if the computer can produce equivalent forms when they are needed, as described under Rule 0800-01-03-.04(6) and Rule 0800-01-03-.05(2), you may keep your records using the computer system.
 - 6. Are there situations where I do not put the employee's name on the forms for privacy reasons? Yes, if you have a "privacy concern case," you may not enter the employee's name on the OSHA 300 Log. Instead, enter "privacy case" in the space normally used for the employee's name. This will protect the privacy of the injured or ill employee when another employee, a former employee, or an authorized employee representative is provided access to the OSHA 300 Log under Rule 0800-01-03-.04(6)(b)2. You must keep a separate, confidential list of

(Rule 0800-01-03-.03, continued)

the case numbers and employee names for your privacy concern cases so you can update the cases and provide the information to the government if asked to do so.

7. How do I determine if an injury or illness is a privacy concern case? You must consider the following injuries or illnesses to be privacy concern cases:
 - (i) An injury or illness to an intimate body part or the reproductive system;
 - (ii) An injury or illness resulting from a sexual assault;
 - (iii) Mental illnesses;
 - (iv) HIV infection, hepatitis, or tuberculosis;
 - (v) Needlestick injuries and cuts from sharp objects that are contaminated with another person's blood or other potentially infectious material [see Rule 0800-01-03-.03(5) for definitions]; and
 - (vi) Other illnesses, if the employee voluntarily requests that his or her name not be entered on the log.
8. May I classify any other types of injuries and illnesses as privacy concern cases? No, this is a complete list of all injuries and illnesses considered privacy concern cases for the purposes of this rule.
9. If I have removed the employee's name, but still believe that the employee may be identified from the information on the forms, is there anything else that I can do to further protect the employee's privacy? Yes, if you have a reasonable basis to believe that information describing the privacy concern case may be personally identifiable even though the employee's name has been omitted, you may use discretion in describing the injury or illness on both the OSHA 300 and 301 forms. You must enter enough information to identify the cause of the incident and the general severity of the injury or illness, but you do not need to include details of an intimate or private nature. For example, a sexual assault case could be described as "injury from assault," or an injury to a reproductive organ could be described as "lower abdominal injury."

Authority: T.C.A. §§ 4-3-1411, 50-3-201, 50-3-701, 50-3-702, and 50-3-917. **Administrative History:** Original rule certified June 10, 1974. Amendment filed March 17, 1978; effective April 16, 1978. Amendment filed November 25, 1983; effective February 13, 1984. Repeal and new rule filed August 30, 2001; effective December 28, 2001. Amendment filed September 13, 2002; effective January 28, 2003. Amendment filed February 12, 2003; effective June 27, 2003. Amendment filed June 30, 2003; effective October 28, 2003. Amendment filed September 18, 2003; effective January 28, 2004.

0800-01-03-.04 OTHER TOSHA INJURY AND ILLNESS RECORDKEEPING REQUIREMENTS.

- (1) Multiple business establishments.
 - (a) Basic requirement. You must keep a separate OSHA 300 Log for each establishment that is expected to be in operation for one year or longer.
 - (b) Implementation.

(Rule 0800-01-03-.04, continued)

1. Do I need to keep TOSHA injury and illness records for short-term establishments (i.e., establishments that will exist for less than a year)? Yes, however, you do not have to keep a separate OSHA 300 Log for each such establishment. You may keep one OSHA 300 Log that covers all of your short-term establishments. You may also include the short-term establishments' recordable injuries and illnesses on an OSHA 300 Log that covers short-term establishments for individual company divisions or geographic regions.
 2. May I keep the records for all of my establishments at my headquarters location or at some other central location? Yes, you may keep the records for an establishment at your headquarters or other central location if you can:
 - (i) Transmit information about the injuries and illnesses from the establishment to the central location within seven (7) calendar days of receiving information that a recordable injury or illness has occurred; and
 - (ii) Produce and send the records from the central location to the establishment within the time frames required by Rule 0800-01-03-.04(6) and Rule 0800-01-03-.05(2) when you are required to provide records to a government representative, employees, former employees or employee representatives.
 3. Some of my employees work at several different locations or do not work at any of my establishments at all. How do I record cases for these employees? You must link each of your employees with one of your establishments, for recordkeeping purposes. You must record the injury and illness on the OSHA 300 Log of the injured or ill employee's establishment, or on an OSHA 300 Log that covers that employee's short-term establishment.
 4. How do I record an injury or illness when an employee of one of my establishments is injured or becomes ill while visiting or working at another of my establishments, or while working away from any of my establishments? If the injury or illness occurs at one of your establishments, you must record the injury or illness on the OSHA 300 Log of the establishment at which the injury or illness occurred. If the employee is injured or becomes ill and is not at one of your establishments, you must record the case on the OSHA 300 Log at the establishment at which the employee normally works.
- (2) Covered employees.
- (a) Basic requirement. You must record on the OSHA 300 Log the recordable injuries and illnesses of all employees on your payroll, whether they are labor, executive, hourly, salary, part-time, seasonal, or migrant workers. You also must record the recordable injuries and illnesses that occur to employees who are not on your payroll if you supervise these employees on a day-to-day basis. If your business is organized as a sole proprietorship or partnership, the owner or partners are not considered employees for recordkeeping purposes.
 - (b) Implementation.
 1. If a self-employed person is injured or becomes ill while doing work at my business, do I need to record the injury or illness? No, self-employed individuals are not covered by the Act or this rule.

(Rule 0800-01-03-.04, continued)

2. If I obtain employees from a temporary help service, employee leasing service, or personnel supply service, do I have to record an injury or illness occurring to one of those employees? You must record these injuries and illnesses if you supervise these employees on a day-to-day basis.
3. If an employee in my establishment is a contractor's employee, must I record an injury or illness occurring to that employee? If the contractor's employee is under the day-to-day supervision of the contractor, the contractor is responsible for recording the injury or illness. If you supervise the contractor employee's work on a day-to-day basis, you must record the injury or illness.
4. Must the personnel supply service, temporary help service, employee leasing service, or contractor also record the injuries or illnesses occurring to temporary, leased or contract employees that I supervise on a day-to-day basis? No, you and the temporary help service, employee leasing service, personnel supply service, or contractor should coordinate your efforts to make sure that each injury and illness is recorded only once: either on your OSHA 300 Log (if you provide day-to-day supervision) or on the other employer's OSHA 300 Log (if that company provides day-to-day supervision).

(3) Annual summary.

(a) Basic requirement. At the end of each calendar year, you must:

1. Review the OSHA 300 Log to verify that the entries are complete and accurate, and correct any deficiencies identified;
2. Create an annual summary of injuries and illnesses recorded on the OSHA 300 Log;
3. Certify the summary; and
4. Post the annual summary.

(b) Implementation.

1. How extensively do I have to review the OSHA 300 Log entries at the end of the year? You must review the entries as extensively as necessary to make sure that they are complete and correct.
2. How do I complete the annual summary? You must:
 - (i) Total the columns on the OSHA 300 Log (if you had no recordable cases, enter zeros for each column total); and
 - (ii) Enter the calendar year covered, the company's name, establishment name, establishment address, annual average number of employees covered by the OSHA 300 Log, and the total hours worked by all employees covered by the OSHA 300 Log.
 - (iii) If you are using an equivalent form other than the OSHA 300-A summary form, the summary you use must also include the employee access and employer penalty statements found on the OSHA 300-A Summary form.

(Rule 0800-01-03-.04, continued)

3. How do I certify the annual summary? A company executive must certify that he or she has examined the OSHA 300 Log and that he or she reasonably believes, based on his or her knowledge of the process by which the information was recorded, that the annual summary is correct and complete.
 4. Who is considered a company executive? The company executive who certifies the log must be one of the following persons:
 - (i) An owner of the company (only if the company is a sole proprietorship or partnership);
 - (ii) An officer of the corporation;
 - (iii) The highest ranking company official working at the establishment; or
 - (iv) The immediate supervisor of the highest ranking company official working at the establishment.
 5. How do I post the annual summary? You must post a copy of the annual summary in each establishment in a conspicuous place or places where notices to employees are customarily posted. You must ensure that the posted annual summary is not altered, defaced or covered by other material.
 6. When do I have to post the annual summary? You must post the summary no later than February 1 of the year following the year covered by the records and keep the posting in place until April 30.
- (4) Retention and updating.
- (a) Basic requirement. You must save the OSHA 300 Log, the privacy case list (if one exists), the annual summary, and the OSHA 301 Incident Report forms for five (5) years following the end of the calendar year that these records cover.
 - (b) Implementation.
 1. Do I have to update the OSHA 300 Log during the five-year storage period? Yes, during the storage period, you must update your stored OSHA 300 Logs to include newly discovered recordable injuries or illnesses and to show any changes that have occurred in the classification of previously recorded injuries and illnesses. If the description or outcome of a case changes, you must remove or line out the original entry and enter the new information.
 2. Do I have to update the annual summary? No, you are not required to update the annual summary, but you may do so if you wish.
 3. Do I have to update the OSHA 301 Incident Reports? No, you are not required to update the OSHA 301 Incident Reports, but you may do so if you wish.
- (5) Change in business ownership. If your business changes ownership, you are responsible for recording and reporting work-related injuries and illnesses only for that period of the year during which you owned the establishment. You must transfer the records required by these rules to the new owner. The new owner must save all records of the establishment kept by the prior owner, as required by Rule 0800-01-03-.04(4), but need not update or correct the records of the prior owner.

(Rule 0800-01-03-.04, continued)

(6) Employee involvement.

- (a) Basic requirement. Your employees and their representatives must be involved in the recordkeeping system in several ways.
 - 1. You must inform each employee of how he or she is to report an injury or illness to you.
 - 2. You must provide limited access to your injury and illness records for your employees and their representatives.
- (b) Implementation.
 - 1. What must I do to make sure that employees report work-related injuries and illnesses to me?
 - (i) You must set up a way for employees to report work-related injuries and illnesses promptly; and
 - (ii) You must tell each employee how to report work-related injuries and illnesses to you.
 - 2. Do I have to give my employees and their representatives access to the TOSHA injury and illness records? Yes, your employees, former employees, their personal representatives, and their authorized employee representatives have the right to access the TOSHA injury and illness records, with some limitations, as discussed below.
 - (i) Who is an authorized employee representative? An authorized employee representative is an authorized collective bargaining agent of employees.
 - (ii) Who is a "personal representative" of an employee or former employee? A personal representative is:
 - (I) Any person that the employee or former employee designates as such, in writing; or
 - (II) The legal representative of a deceased or legally incapacitated employee or former employee.
 - (iii) If an employee or representative asks for access to the OSHA 300 Log, when do I have to provide it? When an employee, former employee, personal representative, or authorized employee representative asks for copies of your current or stored OSHA 300 Log(s) for an establishment the employee or former employee has worked in, you must give the requester a copy of the relevant OSHA 300 Log(s) by the end of the next business day.
 - (iv) May I remove the names of the employees or any other information from the OSHA 300 Log before I give copies to an employee, former employee, or employee representative? No, you must leave the names on the 300 Log. However, to protect the privacy of injured and ill employees, you may not record the employee's name on the OSHA 300 Log for certain "privacy concern cases," as specified in Rules 0800-01-03-.03(27)(b)6. through Rule 0800-01-03-.03(27)(b)9.

(Rule 0800-01-03-.04, continued)

- (v) If an employee or representative asks for access to the OSHA 301 Incident Report, when do I have to provide it?
 - (I) When an employee, former employee, or personal representative asks for a copy of the OSHA 301 Incident Report describing an injury or illness to that employee or former employee, you must give the requester a copy of the OSHA 301 Incident Report containing that information by the end of the next business day.
 - (II) When an authorized employee representative asks for copies of the OSHA 301 Incident Reports for an establishment where the agent represents employees under a collective bargaining agreement, you must give copies of those forms to the authorized employee representative within 7 calendar days. You are only required to give the authorized employee representative information from the OSHA 301 Incident Report section titled "Tell us about the case." You must remove all other information from the copy of the OSHA 301 Incident Report or the equivalent substitute form that you give to the authorized employee representative.
 - (vi) May I charge for the copies? No, you may not charge for these copies the first time they are provided. However, if one of the designated persons asks for additional copies, you may assess a reasonable charge for retrieving and copying the records.
- (7) Prohibition against discrimination. Section 50-3-409 of the Act prohibits you from discriminating against an employee for reporting a work-related fatality, injury or illness. That provision of the Act also protects the employee who files a safety and health complaint or proceeding, asks for access to the injury and illness records, or otherwise exercises any rights afforded by the Act.

Authority: T.C.A. §§ 4-3-1411, 50-3-201, 50-3-409, 50-3-701, and 50-3-917. **Administrative History:** Original rule certified June 10, 1974. Amendment filed March 17, 1978; effective April 16, 1978. Repeal and new rule filed August 30, 2001; effective December 28, 2001.

0800-01-03-.05 REPORTING FATALITY, INJURY AND ILLNESS INFORMATION.

- (1) Reporting fatalities and multiple hospitalization incidents to TOSHA.
 - (a) Basic requirement.
 1. Within eight (8) hours after the death of any employee as a result of a work-related incident, you must report the fatality to the TOSHA Division of the Tennessee Department of Labor and Workforce Development.
 2. Within twenty-four (24) hours after the in-patient hospitalization of one or more employees or an employee's amputation or an employee's loss of an eye, as a result of a work-related incident, you must report the in-patient hospitalization, amputation, or loss of an eye to TOSHA.
 3. You must report the fatality, in-patient hospitalization, amputation, or loss of an eye using one of the following methods:

(Rule 0800-01-03-.05, continued)

- (i) By telephone or in person to the TOSHA Area Office that is nearest to the site of the incident.
 - (ii) By telephone to the TOSHA toll-free central telephone number, 1-800-249-8510.
 - (iii) By electronic submission using the reporting application located on OSHA's public website at www.osha.gov.
- (b) Implementation.
1. If the Area Office is closed, may I report the fatality, in-patient hospitalization, amputation, or loss of an eye by leaving a message on TOSHA's answering machine, faxing the Area Office, or sending an e-mail? No, if the Area Office is closed, you must report the fatality, in-patient hospitalization, amputation, or loss of an eye using either the 800 number or the reporting application located on OSHA's public website at www.osha.gov.
 2. What information do I need to give to TOSHA about the in-patient hospitalization, amputation, or loss of an eye? You must give TOSHA the following information for each fatality, in-patient hospitalization, amputation, or loss of an eye:
 - (i) The establishment name;
 - (ii) The location of the work-related incident;
 - (iii) The time of the work-related incident;
 - (iv) The type of reportable event (i.e., fatality, in-patient hospitalization, amputation, or loss of an eye);
 - (v) The number of employees who suffered a fatality, in-patient hospitalization, amputation, or loss of an eye;
 - (vi) The names of the employees who suffered a fatality, in-patient hospitalization, amputation, or loss of an eye;
 - (vii) Your contact person and his or her phone number; and
 - (viii) A brief description of the work-related incident.
 3. Do I have to report the fatality, in-patient hospitalization, amputation, or loss of an eye if it resulted from a motor vehicle accident on a public street or highway? If the motor vehicle accident occurred in a construction work zone, you must report the fatality, in-patient hospitalization, amputation, or loss of an eye. If the motor vehicle accident occurred on a public street or highway, but not in a construction work zone, you do not have to report the fatality, in-patient hospitalization, amputation, or loss of an eye to TOSHA. However, the fatality, in-patient hospitalization, amputation, or loss of an eye must be recorded on your OSHA injury and illness records, if you are required to keep such records.
 4. Do I have to report the fatality, in-patient hospitalization, amputation, or loss of an eye if it occurred on a commercial or public transportation system? No, you do not have to report the fatality, in-patient hospitalization, amputation, or loss of an eye to TOSHA if it occurred on a commercial or public transportation system

(Rule 0800-01-03-.05, continued)

(e.g., airplane, train, subway, or bus). However, the fatality, in-patient hospitalization, amputation, or loss of an eye must be recorded on your OSHA injury and illness records, if you are required to keep such records.

5. Do I have to report a work-related fatality or in-patient hospitalization caused by a heart attack? Yes, your local TOSHA Area Office supervisor will decide whether to investigate the event, depending on the circumstances of the heart attack.
6. What if the fatality, in-patient hospitalization, amputation, or loss of an eye does not occur during or right after the work-related incident? You must only report a fatality to TOSHA if the fatality occurs within thirty (30) days of the work-related incident. For an in-patient hospitalization, amputation, or loss of an eye, you must only report the event to TOSHA if it occurs within twenty-four (24) hours of the work-related incident. However, the fatality, in-patient hospitalization, amputation, or loss of an eye must be recorded on your OSHA injury and illness records, if you are required to keep such records.
7. What if I don't learn about a reportable fatality, in-patient hospitalization, amputation, or loss of an eye right away? If you do not learn about a reportable fatality, in-patient hospitalization, amputation, or loss of an eye at the time it takes place, you must make the report to TOSHA within the following time period after the fatality, in-patient hospitalization, amputation, or loss of an eye is reported to you or to any of your agent(s): eight (8) hours for a fatality, and twenty-four (24) hours for an in-patient hospitalization, an amputation, or a loss of an eye.
8. What if I don't learn right away that the reportable fatality, in-patient hospitalization, amputation, or loss of an eye was the result of a work-related incident? If you do not learn right away that the reportable fatality, in-patient hospitalization, amputation, or loss of an eye was the result of a work-related incident, you must make the report to TOSHA within the following time period after you or any of your agent(s) learn that the reportable fatality, in-patient hospitalization, amputation, or loss of an eye was the result of a work-related incident: eight (8) hours for a fatality, and twenty-four (24) hours for an in-patient hospitalization, an amputation, or a loss of an eye.
9. How does TOSHA define "in-patient hospitalization"? TOSHA defines in-patient hospitalization as a formal admission to the in-patient service of a hospital or clinic for care or treatment.
10. Do I have to report an in-patient hospitalization that involves only observation or diagnostic testing? No, you do not have to report an in-patient hospitalization that involves only observation or diagnostic testing. You must only report to TOSHA each in-patient hospitalization that involves care or treatment.
11. How does TOSHA define "amputation"? An amputation is the traumatic loss of a limb or other external body part. Amputations include a part, such as a limb or appendage, that has been severed, cut off, amputated (either completely or partially); fingertip amputations with or without bone loss; medical amputations resulting from irreparable damage; amputations of body parts that have since been reattached. Amputations do not include avulsions, enucleations, degloving, scalping, severed ears, or broken or chipped teeth.

- (2) Providing records to government representatives.

(Rule 0800-01-03-.05, continued)

- (a) Basic requirement. When an authorized government representative asks for the records you keep under this rule, you must provide copies of the records within four (4) business hours.
- (b) Implementation.
 - 1. What government representatives have the right to get copies of my injury and illness records? The government representatives authorized to receive the records are:
 - (i) A representative of the Commissioner of Labor and Workforce Development conducting an inspection or investigation under the Act;
 - (ii) A representative of the Secretary of Health and Human Services (including the National Institute for Occupational Safety and Health - NIOSH) conducting an investigation under Section 20(b) of the Occupational Safety and Health Act of 1970, as amended.
 - 2. Do I have to produce the records within four (4) hours if my records are kept at a location in a different time zone? TOSHA will consider your response to be timely if you give the records to the government representative within four (4) business hours of the request. If you maintain the records at a location in a different time zone, you may use the business hours of the establishment at which the records are located when calculating the deadline.
- (3) Annual OSHA injury and illness survey of ten or more employers.
 - (a) Basic requirement. If you receive OSHA's annual survey form, you must fill it out and send it to OSHA or OSHA's designee, as stated on the survey form. You must report the following information for the year described on the form:
 - 1. the number of workers you employed;
 - 2. the number of hours worked by your employees; and
 - 3. the requested information from the records that you keep under this rule.
 - (b) Implementation.
 - 1. Does every employer have to send data to OSHA? No, each year, OSHA sends injury and illness survey forms to employers in certain industries. In any year, some employers will receive an OSHA survey form and others will not. You do not have to send injury and illness data to OSHA unless you receive a survey form.
 - 2. How quickly do I need to respond to an OSHA survey form? You must send the survey reports to OSHA, or OSHA's designee, by mail or other means described in the survey form, within 30 calendar days, or by the date stated in the survey form, whichever is later.
 - 3. Do I have to respond to an OSHA survey form if I am normally exempt from keeping TOSHA injury and illness records? Yes, even if you are exempt from keeping injury and illness records under Rule 0800-01-03-.02(1) to Rule 0800-01-03-.02(3), OSHA may inform you in writing that it will be collecting injury and illness information from you in the following year. If you receive such a letter, you

(Rule 0800-01-03-.05, continued)

must keep the injury and illness records required by Rule 0800-01-03-.03(2) to Rule 0800-01-03-.03(9) and make a survey report for the year covered by the survey.

4. Does this section affect TOSHA's authority to inspect my workplace? No, nothing in this section affects TOSHA's statutory authority to investigate conditions related to occupational safety and health.

(4) Requests from the Bureau of Labor Statistics for data.

(a) Basic requirement. If you receive a Survey of Occupational Injuries and Illnesses Form from the Bureau of Labor Statistics (BLS), or a BLS designee, you must promptly complete the form and return it following the instructions contained on the survey form.

(b) Implementation.

1. Does every employer have to send data to the BLS? No, each year, the BLS sends injury and illness survey forms to randomly selected employers and uses the information to create the Nation's occupational injury and illness statistics. In any year, some employers will receive a BLS survey form and others will not. You do not have to send injury and illness data to the BLS unless you receive a survey form.
2. If I get a survey form from the BLS, what do I have to do? If you receive a Survey of Occupational Injuries and Illnesses Form from the Bureau of Labor Statistics (BLS), or a BLS designee, you must promptly complete the form and return it, following the instructions contained on the survey form.
3. Do I have to respond to a BLS survey form if I am normally exempt from keeping TOSHA injury and illness records? Yes, even if you are exempt from keeping injury and illness records under Rule 0800-01-03-.02(1) to Rule 0800-01-03-.02(3), the BLS may inform you in writing that it will be collecting injury and illness information from you in the coming year. If you receive such a letter, you must keep the injury and illness records required by Rule 0800-01-03-.03(2) to Rule 0800-01-03-.03(9) and make a survey report for the year covered by the survey.

Authority: T.C.A. §§ 4-3-1411, 50-3-201, 50-3-701, and 50-3-917. **Administrative History:** Original rule certified June 10, 1974. Repeal and new rule filed August 30, 2001; effective December 28, 2001. Amendment filed November 26, 2014; effective February 24, 2015.

0800-01-03-.06 TRANSITION FROM THE FORMER RULE.

(1) Summary and posting of the 2001 data.

(a) Basic requirement. If you were required to keep OSHA 200 Logs in 2001, you must post a 2001 annual summary from the OSHA 200 Log of occupational injuries and illnesses for each establishment.

(b) Implementation.

1. What do I have to include in the summary?
 - (i) You must include a copy of the totals from the 2001 OSHA 200 Log and the following information from that form:

(Rule 0800-01-03-.06, continued)

- (I) The calendar year covered;
 - (II) Your company name;
 - (III) The name and address of the establishment; and
 - (IV) The certification signature, title and date.
- (ii) If no injuries or illnesses occurred at your establishment in 2001, you must enter zeros on the totals line and post the 2001 summary.
2. When am I required to summarize and post the 2001 information?
- (i) You must complete the summary by February 1, 2002; and
 - (ii) You must post a copy of the summary in each establishment in a conspicuous place or places where notices to employees are customarily posted. You must ensure that the summary is not altered, defaced or covered by other material.
3. You must post the 2001 summary from February 1, 2002 to March 1, 2002.
- (2) Retention and updating of old forms. You must save your copies of the OSHA 200 and 101 forms for five years following the year to which they relate and continue to provide access to the data as though these forms were the OSHA 300 and 301 forms. You are not required to update your old 200 and 101 forms.

Authority: T.C.A. §§ 4-3-1411, 50-3-201, 50-3-701, and 50-3-917. **Administrative History:** Original rule certified June 10, 1974. Amendment filed March 17, 1978; effective April 16, 1978. Repeal and new rule filed August 30, 2001; effective December 28, 2001.

0800-01-03-.07 DEFINITIONS.

- (1) Definitions.
- (a) Establishment. An establishment is a single physical location where business is conducted or where services or industrial operations are performed. For activities where employees do not work at a single physical location, such as construction; transportation; communications, electric, gas and sanitary services; and similar operations, the establishment is represented by main or branch offices, terminals, stations, etc. that either supervise such activities or are the base from which personnel carry out these activities.
1. Can one business location include two or more establishments? Normally, one business location has only one establishment. Under limited conditions, the employer may consider two or more separate businesses that share a single location to be separate establishments. An employer may divide one location into two or more establishments only when:
- (i) Each of the establishments represents a distinctly separate business;
 - (ii) Each business is engaged in a different economic activity;

(Rule 0800-01-03-.07, continued)

- (iii) No one industry description in the Standard Industrial Classification Manual (1987) applies to the joint activities of the establishments; and
 - (iv) Separate reports are routinely prepared for each establishment on the number of employees, their wages and salaries, sales or receipts, and other business information. For example, if an employer operates a construction company at the same location as a lumber yard, the employer may consider each business to be a separate establishment.
2. Can an establishment include more than one physical location? Yes, but only under certain conditions. An employer may combine two or more physical locations into a single establishment only when:
- (i) The employer operates the locations as a single business operation under common management;
 - (ii) The locations are all located in close proximity to each other; and
 - (iii) The employer keeps one set of business records for the locations, such as records on the number of employees, their wages and salaries, sales or receipts, and other kinds of business information. For example, one manufacturing establishment might include the main plant, a warehouse a few blocks away, and an administrative services building across the street.
3. If an employee telecommutes from home, is his or her home considered a separate establishment? No, for employees who telecommute from home, the employee's home is not a business establishment and a separate 300 Log is not required. Employees who telecommute must be linked to one of your establishments under Rule 0800-01-03-.04(1)(b) 3.
- (b) Injury or illness. An injury or illness is an abnormal condition or disorder. Injuries include cases such as, but not limited to, a cut, fracture, sprain, or amputation. Illnesses include both acute and chronic illnesses, such as, but not limited to, a skin disease, respiratory disorder, or poisoning. (Note: Injuries and illnesses are recordable only if they are new, work-related cases that meet one or more of the recording criteria.)
- (c) Physician or Other Licensed Health Care Professional. A physician or other licensed health care professional is an individual whose legally permitted scope of practice (i.e., license, registration, or certification) allows him or her to independently perform, or be delegated the responsibility to perform, the activities described by this regulation.
- (d) You. "You" means an employer as defined in T.C.A. § 50-3-103(8).

Authority: T.C.A. §§ 4-3-1411, 50-3-201, 50-3-701, and 50-3-917. **Administrative History:** Original rule certified June 10, 1974. Amendment filed March 17, 1978; effective April 16, 1978. Repeal and new rule filed August 30, 2001; effective December 28, 2001.

0800-01-03-.08 REPEALED.

Authority: T.C.A. §§ 50-3-201 and 50-3-701. **Administrative History:** Original rule certified June 10, 1974. Amendment filed January 14, 1978; effective February 13, 1978. Amendment filed October 3, 1978; effective January 29, 1979. Amendment filed November 25, 1983; effective February 13, 1984. Repeal filed August 30, 2001; effective December 28, 2001.

(Rule 0800-01-03-.09, continued)

0800-01-03-.09 REPEALED.

Authority: T.C.A. §§ 50-3-201, 50-3-307, 50-3-402, 50-3-502, and 50-3-701. **Administrative History:** Original rule certified June 10, 1974. Amendment filed January 3, 1975; effective February 2, 1975. Amendment filed January 26, 1976; effective April 15, 1976. Amendment filed November 25, 1983; effective February 13, 1984. Repeal filed August 30, 2001; effective December 28, 2001.

0800-01-03-.10 REPEALED.

Authority: T.C.A. §§ 50-3-201 and 50-3-701. **Administrative History:** Original rule certified June 10, 1974. Repeal filed August 30, 2001; effective December 28, 2001.

0800-01-03-.11 REPEALED.

Authority: T.C.A. §§ 50-3-201 and 50-3-701. **Administrative History:** Original rule certified June 10, 1974. Amendment filed March 17, 1978; effective April 16, 1978. Repeal filed August 30, 2001; effective December 28, 2001.

0800-01-03-.12 REPEALED.

Authority: T.C.A. §§ 50-3-201 and 50-3-701. **Administrative History:** Original rule certified June 10, 1974. Amendment filed May 12, 1994; effective September 28, 1994. Repeal filed August 30, 2001; effective December 28, 2001.

0800-01-03-.13 REPEALED.

Authority: T.C.A. §§ 50-3-201 and 50-3-701. **Administrative History:** Original rule certified June 10, 1974. Amendment filed July 15, 1977; effective August 15, 1977. Amendment filed November 25, 1983; effective February 13, 1984. Amendment filed May 24, 1994; effective September 28, 1994. Repeal filed August 30, 2001; effective December 28, 2001.

0800-01-03-.14 REPEALED.

Authority: T.C.A. §§ 50-3-201 and 50-3-701. **Administrative History:** Original rule certified June 10, 1974. Amendment filed March 17, 1978; effective April 16, 1978. Repeal filed August 30, 2001; effective December 28, 2001.

0800-01-03-.15 REPEALED.

Authority: T.C.A. §§ 50-3-201 and 50-3-701. **Administrative History:** Original rule certified June 10, 1974. Repeal filed August 30, 2001; effective December 28, 2001. Repeal filed August 30, 2001; effective December 28, 2001.

0800-01-03-.16 REPEALED.

Authority: T.C.A. §§ 50-3-201 and 50-3-701. **Administrative History:** Original rule certified June 30, 1974. Amendment filed January 26, 1976; effective April 15, 1976. Amendment filed June 14, 1977; effective July 14, 1977. Amendment filed March 17, 1978; effective April 16, 1978. Repeal filed August 30, 2001; effective December 28, 2001.

0800-01-03-.17 REPEALED.

Authority: T.C.A. §§ 50-3-201, 50-3-402, 50-3-405, and 50-3-702. **Administrative History:** Original rule certified June 10, 1974. Amendment filed January 3, 1975; effective February 2, 1975. Amendment filed January 26, 1976; effective April 15, 1976. Amendment filed November 25, 1983; effective February 13, 1984. Repeal filed August 30, 2001; effective December 28, 2001.

0800-01-03-18 REPEALED.

Authority: T.C.A. §§ 50-3-201, 50-3-303, and 50-3-701. **Administrative History:** Original rule filed March 31, 1983; effective June 15, 1983. Amendment filed March 11, 1985; effective June 14, 1985. Repeal filed August 30, 2001; effective December 28, 2001.